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Quantum Mechanics and cosmology

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- Connections between classical and quantum theories.
- A classical dynamical system in a thermal bath.
- Hamiltonian mechanics and the time arrow.
- Harmonic oscillator in a thermal bath. The Planck constant h .
- Physics at the Planck scales. A chain of oscillators in a thermal bath.
- The lifetime of the quantum Universe and the cosmic dust.

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I Connections between classical and quantum theories

Hamiltonian mechanics:

1. Phase space (q, p)

2. Symplectic form $\omega^2(q, p) = \sum_{k=1}^n \omega_k^{-1} dq_k \wedge dp_k$

3. The Hamilton function $H(q, p)$

The Poisson bracket for phase functions

$$f(q, p), g(q, p): \{f, g\} = \sum \omega_k(q, p) \{f, g / q_k, p_k\}$$

where

$$\{f, g / q, p\} = \left(\frac{\partial f}{\partial q} \frac{\partial g}{\partial p} - \frac{\partial f}{\partial p} \frac{\partial g}{\partial q} \right) = \frac{\partial(f, g)}{\partial(q, p)}$$

Equation

of motion: $\dot{f} = \{f, H\}$

Hamiltonian mechanics contains all the essential ingredients of quantum mechanics

OPERATORS

(B. Koopman, 1931)

**COMPLEX
FUNCTIONS**

$$\dot{f} = \{H, f\} \equiv \hat{H}_c f$$

$$H = \frac{\omega}{2} (p^2 + q^2)$$

$$\begin{pmatrix} \dot{q} \\ \dot{p} \end{pmatrix} = \omega \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} q \\ p \end{pmatrix} \equiv \omega \hat{J} \begin{pmatrix} q \\ p \end{pmatrix}$$

$$\hat{J}^2 = -1$$

Normal coordinates z, \bar{z} : $z = \frac{q + ip}{\sqrt{2}}$

$$\begin{pmatrix} \dot{z} \\ \dot{\bar{z}} \end{pmatrix} = -i\omega \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} z \\ \bar{z} \end{pmatrix}; \quad \begin{pmatrix} z \\ \bar{z} \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & i \\ 1 & -i \end{pmatrix} \begin{pmatrix} q \\ p \end{pmatrix} \equiv \hat{U} \begin{pmatrix} q \\ p \end{pmatrix};$$

$$\rightarrow \dot{z} = -i\omega z \quad \hat{U}^\dagger \hat{U} = 1$$

The imaginary unit $J \rightarrow i$

$$\{\bar{z}, z\} = i$$

Transformation $q, p \rightarrow z, \bar{z}$ is not canonical though \hat{U} is unitary

PROBABILITIES complex ergodic systems are stochastic ones. Thus, in deterministic theory there appear probabilities and the Gibbs distributions.

Hilbert space

The inner product $(f, g) = \frac{1}{Z} \int \bar{f} g e^{-\beta H(q, p)} d^n q d^n p$
for complex functions

[B. Koopman, 1931, J. v. Neumann, 1932]

$$f(q, p), f(z)$$

The Planck constant h

$$Z = \int e^{-\beta H(q, p)} d^n q d^n p$$

If Z exists, then the constant

$$Z^{1/n} = h$$

has the dimension of action.

$$\frac{h}{2\pi} = \frac{1}{\omega\beta} = \frac{kT}{\omega}$$

(oscillator)
 $\beta\omega\bar{z}z = \bar{z}z/h$

$$H = \frac{\omega}{2}(p^2 + q^2) = \omega\bar{z}z$$

II A classical dynamical system in a thermal bath

Variations of canonical variables preserving the Gibbs distribution

$$\delta e^{-\beta H} = 0 \Rightarrow \delta H(q, p) = \sum_i \left(\frac{\partial H}{\partial q_i} \delta q_i + \frac{\partial H}{\partial p_i} \delta p_i \right) \equiv \sum_i \nabla_i H \delta \vec{x}_i = 0, \quad \vec{x}_i = \vec{x}(q_i, p_i)$$

Solution: $\delta \vec{x}_i = \hat{J}_i \nabla_i H \delta t$ ($\hat{J} - 2n \times 2n, \hat{J}^T = -\hat{J}$)

In simplest case: $\dot{q}_i = \partial H / \partial p_i, \dot{p}_i = -\partial H / \partial q_i$

Non-equilibrium distributions

$$\delta \vec{x} = \delta \vec{x}_I + \delta \vec{x}_{II}$$

Measure $d\mu(\vec{z}, z) = \frac{d\vec{z} \wedge dz}{ih} e^{-\vec{z}z/\hbar}$ Harmonic Oscil. $\hbar = \frac{1}{\beta \omega}$

Non-equil.: $d\mu_P = \frac{d\mu_P}{d\mu} d\mu \equiv P(\vec{z}, z) d\mu(\vec{z}, z)$

Let $\delta \vec{x}_{II} \neq 0: H = \omega \vec{z}z \rightarrow \omega \vec{z}z + c z^2 + \bar{c} \bar{z} + \dots$

$$d\mu(\vec{z}, z) \rightarrow d\mu_+(\vec{z}, z) = |f(z)|^2 d\mu(\vec{z}, z)$$

$$f(z) = e^{-\beta c z^2}$$

PROJECTION

$S^2(\theta, \varphi) \rightarrow z$ $|z|^2 = \frac{1}{\beta} \ln \frac{1}{2\beta R^2 \sin^2 \theta/2}, \quad \arg z = \varphi$ $R^2 \sin \theta d\varphi d\theta = d\mu(\vec{z}, z)$

III The time arrow (Eddington)

Classical equations of motion are invariant under transformation

$$t \rightarrow -t, \text{ e.g., } \ddot{q} + \omega^2 q = 0.$$

The corresponding Hamiltonian eqs. are not invariant: $\dot{q} = \omega p, \dot{p} = -\omega q$

Hamilt. dyns does not specify

T-transformations of q, p .

NB Hamiltonian mechanics:

Phase space (PS), $H(q, p), \underline{\underline{\omega(q, p)}}$:

$$f = \omega(q, p) \left(\frac{\partial f}{\partial q} \frac{\partial H}{\partial p} - \frac{\partial f}{\partial p} \frac{\partial H}{\partial q} \right).$$

This eq. is invariant under $\begin{cases} t \rightarrow -t \\ \omega \rightarrow -\omega \end{cases}$

Important PS is an oriented manifold

Transformation $\omega \rightarrow -\omega$ changes the orientation of PS

$$H = \frac{\omega}{2}(p^2 + q^2) = \omega \bar{z} z, \quad z = \frac{q + ip}{\sqrt{2}} \quad (4')$$

$$\left. \begin{aligned} \dot{q} &= \omega p \\ \dot{p} &= -\omega q \end{aligned} \right\} \rightarrow \begin{cases} \dot{z} = -i\omega z \\ \dot{\bar{z}} = i\omega \bar{z} \end{cases} \quad \{f, g/q, p\} = \frac{\partial f \partial g}{\partial q \partial p} - \frac{\partial f \partial p}{\partial p \partial q}$$

$$\{Q, P/q, p\} = 1; \quad \{\bar{z}, z/q, p\} = \frac{1}{2}\{q - ip, q + ip\}_p = i$$

(h) Heat bath: $\int dq dp e^{-\beta \frac{\omega}{2}(q^2 + p^2)} \frac{d\mu}{h}$

$$d\mu(\bar{z}, z) = \frac{d\bar{z} dz}{ih} e^{-\beta \omega \bar{z} z}; \quad \frac{h}{2\pi} = \frac{1}{\beta \omega}$$

(f(z)) $\vec{x}(q, p)$ - 2-vector. $\delta \vec{x} = \delta \vec{x}_\perp + \delta \vec{x}_\parallel$

$$\delta e^{-\beta H} = 0 \rightarrow \delta H = \nabla H \delta \vec{x} = 0; \quad \nabla H \delta \vec{x}_\perp = 0$$

$$\delta \vec{x}_\perp = \hat{J} \nabla H \delta t, \quad \hat{J} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad \dot{\vec{x}} = J \nabla H, \quad \dot{q} = \omega p, \quad \dot{p} = -\omega q$$

$\delta \vec{x}_\parallel$ (small) $H = \omega \bar{z} z \rightarrow \omega \bar{z} z + c z + \bar{c} \bar{z} + \dots$

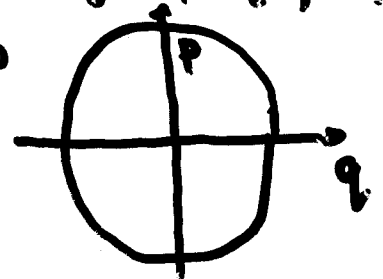
$$d\mu(\bar{z}, z) \rightarrow d\mu_f(\bar{z}, z) = |f(z)|^2 d\mu(\bar{z}, z) \equiv$$

$$\equiv P(\bar{z}, z) d\mu(\bar{z}, z); \quad f(z) = e^{cz}$$

Evolution of non-equilibrium state

$$P d\mu, \quad \dot{P} = \{P, H\}_p \quad t_2 \gg \omega^{-1}$$

HERE: (it is enough) consider $f(z) = \{f, H\}_p$
 $= i\{f, H/\bar{z}, z\}$; it gives \dot{P}



IV Harmonic oscillator in a thermal bath
 Evolution of non-equilibrium state
 is described by quantum mechanics

$$H = \frac{\omega}{2} \begin{pmatrix} q \\ p \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} q \\ p \end{pmatrix} = \frac{\omega}{2} \begin{pmatrix} z \\ \bar{z} \end{pmatrix} \hat{U}^* \hat{U} \begin{pmatrix} z \\ \bar{z} \end{pmatrix} = \frac{\omega}{2} \begin{pmatrix} z \\ \bar{z} \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} z \\ \bar{z} \end{pmatrix}$$

$$H = \frac{\omega}{2} (z\bar{z} + \bar{z}z)$$

$$t_z \gg \omega^{-1}$$

$$\dot{f} = i\{f, H/\hbar, z\} = -i\omega z \frac{d}{d\bar{z}} f(z) \equiv \hat{H}_{cl} f,$$

or $(\partial_t + \omega \partial_\varphi) f(\varphi, t) = 0$ (arg $z = \varphi$)

Solution: $f(\varphi - \omega t)$ (wave)

$$z = |z| e^{i\varphi}$$

SHOULD BE
 Periodic: $f(z(t)) = \sum_{n=0}^{\infty} c_n Z_n(z); Z_n = \frac{z^n}{\sqrt{n!}}$

$Z_n(z): \dot{Z}_n = -i\omega n Z_n$ (motion with frequencies ωn)

Probability amplitudes DEFINE $(g, f) = \int g(\bar{z}) f(z) d\mu(\bar{z}, z)$

$f(z) \rightarrow$ FOCK SPACE: functions of order $s \leq 2$

They describe non-equilibz. distributions

$Z_n(z/\sqrt{\hbar})$ form a basis: $(Z_n, Z_m) = \delta_{nm}$

From $(f, f) = \sum |c_n|^2 = 1 \rightarrow |f(z)|^2 =$ probability density

Commutation relations $\left. \begin{aligned} \hat{z} \overline{g(z)} &= \bar{z} \overline{g(z)} \\ \hat{z} \overline{g(z)} &= \hbar d\overline{g}/d\bar{z} \end{aligned} \right\} [\hat{z}, \hat{\bar{z}}] = \hbar$

$$[\hat{q}, \hat{p}] = \frac{i}{2} [\hat{z} + \hat{\bar{z}}, \hat{z} - \hat{\bar{z}}] = i\hbar, \quad \hat{H} = \frac{\omega}{2} (\hat{p}^2 + \hat{q}^2) = \frac{\omega}{2} (\hat{z} \hat{\bar{z}} + \hat{\bar{z}} \hat{z})$$

The Schrödinger equation Multiply: $i\hbar \dot{f} = -i\omega z \frac{d}{dz} f(z)$ ⁽⁶⁾

$$i\hbar \dot{f}(z) = \hbar\omega z \frac{d}{dz} f(z) \equiv \hat{H}_{cl} f(z)$$

$$\hat{H}_{cl} = i\hbar \hat{H}_{cl} = \hbar\omega \hat{a}^\dagger \hat{a} \quad \hat{a}^\dagger = z, \quad \hat{a} = \frac{d}{dz}$$

Spectrum of \hat{H}_{cl} : $\hbar\omega n$ (not $\hbar\omega(n+\frac{1}{2})$)

$$E_n = \hbar\omega n + \frac{\hbar\omega}{2} \leftarrow \text{the thermal contribution}$$

From FOCK space $z \rightarrow q$ - not correct
to configuration space $[\exp(-\bar{z}z/\hbar)]$ - not analytical

$$\bar{Z}_n(z) \equiv \langle z | n \rangle, \quad H_n(q) = \frac{1}{(2^n n! \sqrt{\pi})} H_n(q) e^{-q^2/2} \equiv \langle n | q \rangle$$

$$U(\bar{z}, q) = \sum_n \langle \bar{z} | n \rangle \langle n | q \rangle = \frac{1}{\sqrt{\pi}} e^{-\frac{\bar{z}^2 + q^2}{2} + \sqrt{2} q \bar{z}}$$

(V. Bargmann, 1961)

$$\int_{-\infty}^{\infty} dq U(\bar{z}, q) U(q, z) = e^{\bar{z}z}; \quad \int d\mu U(q, z) U(\bar{z}, q) = \delta(q - q')$$

$$\int_{-\infty}^{\infty} dq U(\bar{z}, q) H_n(q) = \bar{Z}_n(\bar{z}); \quad \int d\mu \bar{Z}_n(z) U(\bar{z}, q) = H_n(q)$$

$$\int d\mu(\bar{z}, z) d\mu(\bar{z}', z') \langle q | z \rangle (\bar{z} z' e^{\bar{z}z'}) \langle \bar{z}' | q' \rangle =$$

KERNEL $(H_{\bar{z}z'} = \bar{z} z' e^{\bar{z}z'})$

$$= \frac{1}{2} \left(-\frac{d^2}{dq^2} + q^2 - 1 \right) \delta(q - q') \quad (\omega=1)$$

V A chain of oscillators in a heat bath (7)

$$L = \frac{1}{2} \sum_n \left[m \dot{q}_n^2 - \tilde{\gamma} (q_n - q_{n-1})^2 - \gamma q_n^2 \right] \quad \left[\ell_P \sim 10^{-33} \text{ cm} \right]$$

continuous limit: $L = \frac{1}{2} \int dx (\dot{\varphi}^2 - \varphi'^2 - M^2 \varphi^2)$
 $a n \rightarrow x, q_n \sqrt{m} \rightarrow \varphi(x, t), \frac{a^2 \tilde{\gamma}}{m} \rightarrow 1, \frac{\gamma}{m} \rightarrow M^2$
 $a \rightarrow 0, n \rightarrow \infty$

Klein-Fock: $(\square - M^2) \varphi = 0$

$$H = \frac{1}{2} \sum_n \left[\frac{p_n^2}{m} + \tilde{\gamma} (q_n - q_{n-1})^2 + \gamma q_n^2 \right]$$

Normal coordinates $u(k), p(k)$

$$q_n = \int_{-\Delta}^{\Delta} dk u(k) \varphi_n^{\dagger}(k), \quad p_n = \int_{-\Delta}^{\Delta} dk p(k) \varphi_n(k), \quad \varphi_n = \frac{1}{\sqrt{2\Delta}} e^{i\pi n k}$$

$$H = \frac{1}{2} \int_{-\Delta}^{\Delta} dk \omega(k) (a^{\dagger}(k) a(k) + a(k) a^{\dagger}(k))$$

$$\omega^2(k) = \frac{\gamma}{m} + \frac{4\tilde{\gamma}}{m} \sin^2 \frac{\pi k}{2\Delta}, \quad u(k) = \frac{1}{\sqrt{2m\omega(k)}} (a^{\dagger}(k) + a(-k))$$

$$p(k) = i \sqrt{\frac{m\omega(k)}{2}} (a^{\dagger}(k) - a(-k))$$

Gibbs: $\prod_k da^{\dagger}(k) da(k) e^{-\beta \int dk \omega(k) a^{\dagger}(k) a(k)}$
 $= \prod_k d\tilde{a}^{\dagger}(k) d\tilde{a}(k) \lambda_k^{-1} e^{-\beta \omega \int dk \tilde{a}^{\dagger}(k) \tilde{a}(k)}$

$$\tilde{a}(k) = \lambda_k^{1/2} a(k), \quad \lambda_k = \frac{\omega(k)}{\omega}$$

Thus: $(\Phi_1, \Phi_2) = \int \prod_k d\tilde{a}^{\dagger}(k) d\tilde{a}(k) e^{-\int dk \frac{\tilde{a}^{\dagger}(k) \tilde{a}(k)}{\hbar}}$ $\hbar = \beta \omega$
FOCK

VI The lifetime of the Universe

Restoration of equilibrium states

$$\ddot{q}(t) + \omega^2 q(t) = 0 \rightarrow \ddot{q} + d\dot{q} + \omega^2 q = 0$$

$d > 0$ specifies friction. For infinitesimal d solution is

$$q(t) = c_1 e^{-i(\omega - i\frac{d}{2})t} + c_2 e^{i(\omega + i\frac{d}{2})t}$$

Classical deterministic motion

disappears when $t \rightarrow \infty$ ($t > t_r \sim \frac{1}{d}$)

Any coherent motion dies away
Photons „grow old“ (factor $e^{-\frac{d}{2}t}$)

It looks as decreasing of the photon number with time, i.e. as absorption of photons by space (from distant sources). In a way it imitates cosmic dust.

Difference: „the absorption“ is the same for all frequencies.

1908 04

QM & Cosmology

19.3.1982

The title is a little bit deceiving. One may imagine that I'll speak about ^{cosmological} consequences following from quantum nature of micro world. In fact I'll speak about origin of quantum theory. I believe to uncover it one should turn to Planck scales. It turns out that one can construct a model demonstrating appearance of quantum description in framework of pure classical theory (an oscillator in a thermal bath). There ~~are~~ follow ^{some} quite unexpected consequences for the universe.

1. CONNECTING BETWEEN CLASSICAL AND QUANTUM THEORIES

The time arrow \downarrow $\omega = (\pm \sqrt{E} \pm \dots) \times \dots$ $\omega = \pm \sqrt{E} \pm \dots$

Classical equations of motion are invariant under transformation $t \rightarrow -t$ and $q \rightarrow -q$.
 $\ddot{q} + \omega^2 q = 0$ $\frac{d^2 q(t)}{dt^2} + \omega^2 q(t) = 0$

The corresponding Hamiltonian equations are $\dot{q} = \frac{\partial H}{\partial p} = \omega p$ and $\dot{p} = -\frac{\partial H}{\partial q} = -\omega q$.
 These are not invariant because Hamiltonian does not specify T-transformations of q, p .

Notice: To define Ham. mech. one has to define both $H(q, p)$ and $\omega(q, p)$ and $\frac{df}{dt} = \omega(q, p) \left(\frac{\partial f}{\partial q} \frac{\partial H}{\partial p} - \frac{\partial f}{\partial p} \frac{\partial H}{\partial q} \right)$.
 The eq. is invariant under $t \rightarrow -t$ $\left\{ \begin{matrix} t \rightarrow -t \\ \omega \rightarrow -\omega \end{matrix} \right.$
 Thus, it is the symplectic form which defines the time arrow.

Besides, the PS is an oriented manifold. In fact, the orientation of PS defines the time arrow.

1982-3-21

$\delta H(\bar{x}, \bar{p}) = 0$ (if $\delta H(\bar{x}) = 0$)

THEOREM: If $\delta H(\bar{x}, \bar{p}) = 0$ then $\delta H(\bar{x}) = 0$

$S = \int (\dot{x}^2 - p(x)) dt$ $\delta x(t) = \delta x(t)$

(1) Hamiltonian $H(x, p)$ is a function of x and p .
 The variational principle states that the path $x(t)$ is such that $\delta S = 0$.

$\delta x(t) = \lambda(t) \delta f(x, p)$

$\delta x (J\bar{x} - \nabla f) = 0$

$\delta \int (\dot{x}^2 - f(x)) dt = 0$

For our purposes it is enough to take the classical equations of motion conserve the Gibbs distribution. Ergo, among δx there is a class of δx following from classical equations of motion.

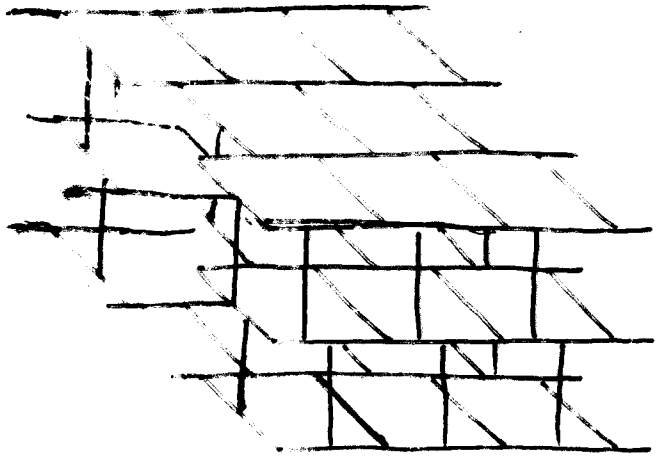
$\frac{\partial f}{\partial x} = \frac{\partial H}{\partial x}$
 $\frac{\partial f}{\partial p} = \frac{\partial H}{\partial p}$

The δx is a variation which is consistent with the equations of motion.

Therefore, the δx is an element of the tangent space at (x, p) .

Theorem 1.1





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