

# Spin Filtering in Storage Rings: Scattering within the Beam, and the FILTEX results (PAX scrutiny of the filtering process)

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## Contents:

- Spin filtering & scattering within the beam: a quantum-mechanical evolution of spin-density matrix
- Why the spin-filtering on polarized electrons cancels out?
- Comparison with the kinetic equation approach of Milstein & Strakhovenko
- Interpretation of the FILTEX findings: one minor, but important, conceptual correction to Meyer's analysis
- Implications for spin-filtering of antiprotons in PAX FAIR

What do we (PAX) want (M.Contalbrigo's talk):

harvest top-class physics with double-polarized antiproton-proton collider at FAIR

What do we need: antiprotons of highest possible polarization.

How shall we get them: by spin filtering

Need a scrutiny of the FILTEX spin filtering of protons

- ★ The textbook optics: optical polarizer absorbs the "wrong" polarization.
- ★ Spin filtering of neutrons in polarized  $He^3$  - a popular source of polarized neutrons.
- ★ Spin filtering in storage rings - a unique practical solution for antiprotons.
- ★ Internal atomic polarized  $H \uparrow$  and  $D \uparrow$  cell targets - a unique choice for a polarizer.
- ★ Polarized  $atom \uparrow = proton \uparrow (deuteron \uparrow) + electron \uparrow$ . Impact of electrons?
- ★ Electron-to-proton polarization transfer (Akhiezer et al, 50's): QED, the same status as the hyperfine splitting in atoms. Exists, is large and is routinely used at MAMI, Bates, Jlab for precision measurements of  $G_E/G_M$
- ★ H.O.Meyer's question: what scattering within the beam does to filtering?

## The transmission and scattering

- ★ Why is the sky that blue? It is exclusively the scattered light!
- ★ Why is the setting sun so reddish? It is exclusively the transmitted light!

N.B. We only see the transmitted light from distant stars!

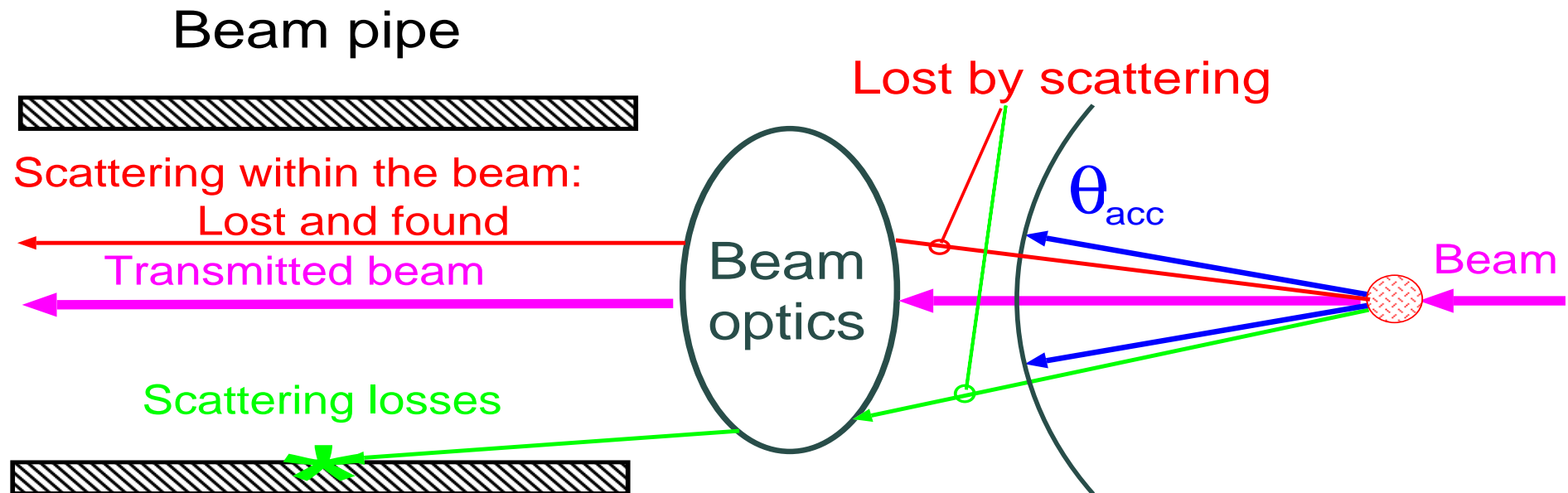
- ★ Why the sun changes its color? Transmission changes the unscattered light!
- ★ Optical filtering: with rare exceptions one only deals with the transmitted light.
- ★ Unique feature of storage rings: a mixing of the transmitted and scattered beam
- ★ The technical description: the polarization dependent refraction index.
- ★ Fermi-Akhiezer-Pomeranchuk-Lax formula:

$$n = 1 + \frac{2\pi}{p^2} N \hat{f}(o)$$

The forward NN scattering amplitude  $\hat{f}(o)$  depends on the beam and target spins

- ★ Polarized target is an optically active medium!

# What the internal target does to the beam? (a poor theorists notion)



Hans Otto Meyer (1994): polarization of the transmitted beam is modified by polarization of particles scattered within the beam

Large effects in the FILTEX experiment (Protons,  $T=23$  MeV, Test Storage Ring, Heidelberg, 1992) ?

## The kinematics of p-atom interactions in storage rings

- ★ Screening of  $e&p$  Coulomb fields beyond the Bohr radius  $a_B$ : **incoherent** quasielastic (E) scattering off protons and electrons at

$$\theta \gtrsim \theta_{min} = \frac{\alpha_{em} m_e}{\sqrt{2m_p T_p}} \implies d\sigma_E = d\sigma_{el}^p + d\sigma_{el}^e$$

- ★ Electron is too light a target to deflect heavy protons (Horowitz& Meyer):

$$\theta \leq \theta_e = m_e/m_p$$

- ★ Dominant Coulomb  $pp$  scattering at up to

$$\theta \lesssim \theta_{Coulomb} \approx \sqrt{2\pi\alpha_{em}/m_p T_p \sigma_{tot,nucl}^{pp}} \approx 100\text{mrad}$$

- ★ FILTEX ring acceptance  $\theta_{acc} = 4.4 \text{ mrad}$ .

- ★ Strong inequality

$$\theta_{min} \ll \theta_e \ll \theta_{acc} \ll \theta_{Coulomb}$$

The corollaries: (i)  **$pe$  scattering entirely within the stored beam**, (ii) Beam losses dominated by Coulomb  $pp$  scattering.

First warning: how do we measure  $\sigma_{tot,nucl}^{pp}$  in the liquid hydrogen target?

★ Beam attenuation:  $\hat{\sigma}_{tot}(p - atom) \equiv \hat{\sigma}_{tot}^{pp} + \hat{\sigma}_{tot}^{pe}$ .

★ The  $pe$  X-section is gigantic:

$$\hat{\sigma}_{tot}^{pe} = \hat{\sigma}_{el}^e(> \theta_{min}) \approx 4\pi\alpha_{em}^2 a_B^2 \approx 2 \cdot 10^4 \text{ Barn}$$

How do we extract  $\sigma_{tot,nucl}^{pp} \sim 40 \text{ mb}$  on top of such a background?

★  $\theta \leq \theta_e \ll$  angular divergence of any beam,  $pe$  scattering is entirely within the beam and does not cause any attenuation!

★ Skrinsky's question (2004, unpublished): shall the spin filtering by  $e \uparrow$  be observable?

★ Milstein & Strakhovenko (2005): electrons wouldn't work! (independent & simultaneous observation by NNN & F.Pavlov within a very different formalism).

★ Getting rid of Coulomb  $pp$  scattering in  $\sigma_{tot,nucl}^{pp}$ :

(i) measure transmitted beam intensity with acceptance  $> \theta_{Coulomb}$ ,

(ii) extrapolate to zero acceptance angle.

## Transmission Losses vs. Scattering within the Beam

- ★ Polarization of the transmitted beam: propagates at ZERO scattering angle, gets polarized by absorption & elastic scattering out of the beam
- ★ Lost & found polarization of scattered particles.
- ★ Pertinent features of spin filtering in storage rings (the poor theorists notion):
  - (i) ultra-thin target,
  - (ii)  $\theta \geq \theta_{acc}$ : scattering out of the beam pipe,
  - (iii) ring optics (betatron oscillations & focusing & defocusing & electron cooling & ...): transverse momentum  $\mathbf{p}$  gets randomized between consecutive interactions with the target,
  - (iv) angular divergence of the beam at the target  $\ll \theta_{acc}$ .
- ★ The appropriate quantum-mechanical approach: the evolution equation for the spin-density matrix of the stored beam

# The In-Medium Hamiltonian and Evolution of Transmitted Beam

★ **Time** = distance  $z$  traversed in the medium.

$$\text{Fermi Hamiltonian} = \hat{H} = \frac{1}{2}N\hat{F}(0) = \frac{1}{2}N[\hat{R}(0) + i\hat{\sigma}_{tot}]$$

$N$  = density of atoms in the target.

★ The density matrix of the stored beam

$$\hat{\rho}(\mathbf{p}) = \frac{1}{2}[I_0(\mathbf{p}) + \boldsymbol{\sigma}\mathbf{s}(\mathbf{p})]$$

$I_0(\mathbf{p})$  = particle density,  $\mathbf{s}(\mathbf{p})$  = spin density.

★ Textbook **quantum-mechanical** evolution for pure transmission ( $\theta_{acc} \rightarrow 0$ , vanishing scattering within the beam)

$$\begin{aligned} \frac{d}{dz}\hat{\rho}(\mathbf{p}) = i[\hat{H}, \hat{\rho}(\mathbf{p})] &= \underbrace{i\frac{1}{2}N(\hat{R}\hat{\rho}(\mathbf{p}) - \hat{\rho}(\mathbf{p})\hat{R})}_{\text{Real potential=Pure refraction}} \\ &- \underbrace{\frac{1}{2}N(\hat{\sigma}_{tot}\hat{\rho}(\mathbf{p}) + \hat{\rho}(\mathbf{p})\hat{\sigma}_{tot})}_{\text{(Imaginary potential=Pure attenuation)}} \end{aligned}$$



## Evolution of Transmitted Beam Cont'd

$$\hat{\sigma}_{tot} = \sigma_0 + \underbrace{\sigma_1(\boldsymbol{\sigma} \cdot \mathbf{Q}) + \sigma_2(\boldsymbol{\sigma} \cdot \mathbf{k})(\mathbf{Q} \cdot \mathbf{k})}_{\text{spin-sensitive loss}},$$

$$\hat{R} = R_0 + \underbrace{R_1(\boldsymbol{\sigma} \cdot \mathbf{Q}) + R_2(\boldsymbol{\sigma} \cdot \mathbf{k})(\mathbf{Q} \cdot \mathbf{k})}_{\boldsymbol{\sigma} \cdot \text{Pseudomagnetic field}}$$

$\mathbf{k}$  = beam axis,  $\mathbf{Q}$  = target polarization.

★ Evolution of the beam polarization  $\mathbf{P} = \mathbf{s}/I_0$

$$d\mathbf{P}/dz = \underbrace{-N\sigma_1(\mathbf{Q} - (\mathbf{P} \cdot \mathbf{Q})\mathbf{P}) - N\sigma_2(\mathbf{Q}\mathbf{k})(\mathbf{k} - (\mathbf{P} \cdot \mathbf{k})\mathbf{P})}_{\text{(Polarization buildup by spin-sensitive loss)}} + \underbrace{NR_1(\mathbf{P} \times \mathbf{Q}) + nR_2(\mathbf{Q}\mathbf{k})(\mathbf{P} \times \mathbf{k})}_{\text{(Spin precession in pseudomagnetic field)}}$$

★ Precession effects are **missed** in Milstein-Strakhovenko kinetic equation for spin-state population numbers. Kinetic equation holds **only** if spin-density matrix is diagonal.

★ Kinetic equation is **recovered** from evolution of the density matrix **upon averaging over precessions** - the case in storage rings and **pure transverse or longitudinal** polarizations.

## The polarization buildup

- ★ Coupled evolution equations after into-the-beam scattering

$$\frac{d}{dz} \begin{pmatrix} I_0 \\ \mathbf{s} \end{pmatrix} = -N \begin{pmatrix} \sigma_0(> \theta_{\min}) & Q\sigma_1(> \theta_{\min}) \\ Q\sigma_1(> \theta_{\min}) & \sigma_0(> \theta_{\text{acc}}) \end{pmatrix} \cdot \begin{pmatrix} I_0 \\ \mathbf{s} \end{pmatrix},$$

- ★ Solutions

$$\propto \exp(-\lambda_{1,2} N z)$$

with eigenvalues

$$\lambda_{1,2} = \sigma_0 \pm Q\sigma_1$$

- ★ Reduction to Meyer's equation for pure transverse polarizations:

$$\frac{dP}{dz} = -N\sigma_1 Q(1 - P^2)$$

- ★ Polarization buildup

$$P(z) = -\tanh(Q\sigma_1 N z)$$

- ★ Any spin-dependent loss filters spin of the stored beam:

## Impact of Scattering within the Beam upon Spin Filtering

★ Quasielastic (E)  $p + atom \rightarrow p'_{scatt} + e + p_{recoil}$ ,  $\mathbf{q} =$  momentum transfer:

$$\frac{d\hat{\sigma}_E}{d^2\mathbf{q}} = \frac{1}{(4\pi)^2} \hat{\mathcal{F}}(\mathbf{q}) \hat{\rho} \hat{\mathcal{F}}^\dagger(\mathbf{q}) = \frac{1}{(4\pi)^2} \hat{\mathcal{F}}_e(\mathbf{q}) \hat{\rho} \hat{\mathcal{F}}_e^\dagger(\mathbf{q}) + \frac{1}{(4\pi)^2} \hat{\mathcal{F}}_p(\mathbf{q}) \hat{\rho} \hat{\mathcal{F}}_p^\dagger(\mathbf{q})$$

★ **Lost and found**: scattering within the beam at  $\theta \leq \theta_{acc}$

★ Formal derivation from multiple-scattering theory: unitarity (**loss-recovery balance**) is satisfied rigorously.

$$\begin{aligned} \frac{d}{dz} \hat{\rho} = i[\hat{H}, \hat{\rho}] = & \underbrace{i \frac{1}{2} N (\hat{R} \hat{\rho}(\mathbf{p}) - \hat{\rho}(\mathbf{p}) \hat{R})}_{\text{Ignore this precession}} \\ & - \underbrace{\frac{1}{2} N (\hat{\sigma}_{tot} \hat{\rho}(\mathbf{p}) + \hat{\rho}(\mathbf{p}) \hat{\sigma}_{tot})}_{\text{Evolution by loss}} \\ & + \underbrace{N \int^{\Omega_{acc}} \frac{d^2\mathbf{q}}{(4\pi)^2} \hat{\mathcal{F}}(\mathbf{q}) \hat{\rho}(\mathbf{p} - \mathbf{q}) \hat{\mathcal{F}}^\dagger(\mathbf{q})}_{\text{Lost and found: scattering within the beam}} \end{aligned}$$

## Needle-Sharp Scattering off Electrons: $\theta_e \ll \theta_{acc}$

- ★ Breit *pe* interaction (1929): **Coulomb** (+ unimportant relativistic corrections) + **hyperfine + tensor + spin-orbit** (negligible small & unimportant to us)

$$U(\mathbf{q}) = \alpha_{em} \left\{ \frac{1}{q^2} + \mu_p \frac{(\boldsymbol{\sigma}_p \mathbf{q})(\boldsymbol{\sigma}_e \mathbf{q}) - (\boldsymbol{\sigma}_p \boldsymbol{\sigma}_e q^2)}{4m_p m_e q^2} \right\}$$

$$\hat{\sigma}_{tot}^e = \underbrace{\sigma_0^e}_{\text{Coulomb}} + \underbrace{\sigma_1^e (\boldsymbol{\sigma}_p \cdot \mathbf{Q}_e) + \sigma_2^e (\boldsymbol{\sigma}_p \cdot \mathbf{k})(\mathbf{Q}_e \cdot \mathbf{k})}_{\text{Coulomb} \times (\text{Hyperfine} + \text{Tensor})}$$

- ★ **Horowitz-Meyer (1994)**: substantial transfer of polarization to scattered protons!
- ★ Stronger transfer of longitudinal polarization:  $\sigma_2^e = 2\sigma_1^e$ . (property inherent to Buttimore et al. helicity amplitudes)
- ★ Polarization of scattered protons  $\mathbf{P}_f$  (transverse case):

$$\sigma_0^e \mathbf{P}_f = \sigma_0^e \mathbf{P} + \sigma_1^e \mathbf{Q}_e$$

- ★ **one-to-one** beam-to-scattered proton spin transfer (**Milstein-Strakhovenko**)

★ Pure electron contribution to the loss of transmitted beam (suppress  $\theta \gg \theta_{min}$ )

$$\frac{1}{2} \frac{d}{dz} I_0(\mathbf{p})(1 + \boldsymbol{\sigma} \cdot \mathbf{P}(\mathbf{p})) = -\frac{1}{2} N I_0(\mathbf{p}) \left[ \underbrace{\sigma_0^e + \sigma_1^e \mathbf{P} \mathbf{Q}_e}_{\text{particle number loss}} + \boldsymbol{\sigma} \underbrace{(\sigma_0^e \mathbf{P} + \sigma_1^e \mathbf{Q}_e)}_{\text{selective spin loss}} \right]$$

★ Lost & found (precession-averaged) from scattering within the beam

$$\begin{aligned} & N \int \frac{d^2 \mathbf{q}}{(4\pi)^2} \hat{\mathcal{F}}_e(\mathbf{q}) \hat{\rho}(\mathbf{p} - \mathbf{q}) \hat{\mathcal{F}}_e^\dagger(\mathbf{q}) \\ &= \frac{1}{2} N I_0(\mathbf{p}) \int \frac{d^2 \mathbf{q}}{(4\pi)^2} \hat{\mathcal{F}}_e(\mathbf{q}) \hat{\mathcal{F}}_e^\dagger(\mathbf{q}) + \frac{1}{2} N \mathbf{s}(\mathbf{p}) \int \frac{d^2 \mathbf{q}}{(4\pi)^2} \hat{\mathcal{F}}_e(\mathbf{q}) \boldsymbol{\sigma} \hat{\mathcal{F}}_e^\dagger(\mathbf{q}) \\ &= \underbrace{\frac{1}{2} N I_0(\mathbf{p}) [\sigma_0^e + \sigma_1^e (\mathbf{P} \cdot \mathbf{Q})]}_{\text{Lost\&found particle number}} + \underbrace{\frac{1}{2} N I_0(\mathbf{p}) \boldsymbol{\sigma} [\sigma_0^e \mathbf{P} + \sigma_1^e \mathbf{Q}_e]}_{\text{Lost\&found spin}} \end{aligned}$$

★ The net effect:

$$\hat{\sigma}_{tot} \equiv \hat{\sigma}_{abs}^p + \hat{\sigma}_{el}^p(> \theta_{min}) + \hat{\sigma}_{el}^e(> \theta_{min}) \implies \hat{\sigma}_{tot} - \hat{\sigma}_{el}^e(> \theta_{min}) = \hat{\sigma}_{abs}^p + \hat{\sigma}_{el}^p(> \theta_{min}).$$

★ Skrinky' concern was well taken: electrons in the target are invisible, scattering within the beam cancels exactly the transmission losses (also Milstein & Strakhovenko).

★ Sad conclusion: Farewell to electromagnetic electron-to-antiproton spin transfer...

# Nuclear Spin Filtering: Nuclear $pp$ Scattering within the Beam

- ★ Decompose pure transmission losses (transverse polarization)

$$\begin{aligned}
 \frac{d}{dz}\hat{\rho} = & \underbrace{-\frac{1}{2}N(\hat{\sigma}_{tot}(> \theta_{acc})\hat{\rho}(\mathbf{p}) + \hat{\rho}(\mathbf{p})\hat{\sigma}_{tot}(> \theta_{acc}))}_{\text{Unrecoverable transmission loss}} \\
 & - \frac{1}{2}NI_0(\mathbf{p})\underbrace{[\sigma_0^{el}(< \theta_{acc}) + \sigma_1^{el}(< \theta_{acc})\mathbf{P}\mathbf{Q}]}_{\text{Potentially recoverable particle loss}} \\
 & + \underbrace{\sigma(\sigma_0^{el}(< \theta_{acc})\mathbf{P} + \sigma_1^{el}(< \theta_{acc})\mathbf{Q})}_{\text{Potentially recoverable spin loss}}
 \end{aligned}$$

- ★ Angular divergence of the beam at target  $\ll \theta_{acc}$ : integrate over  $\mathbf{p}$

$$\begin{aligned}
 & \int d^2\mathbf{p} \int^{\Omega_{acc}} \frac{d^2\mathbf{q}}{(4\pi)^2} \hat{\mathcal{F}}(\mathbf{q})\hat{\rho}(\mathbf{p} - \mathbf{q})\hat{\mathcal{F}}^\dagger(\mathbf{q}) = \\
 & \left[ \int d^2\mathbf{p} I_0(\mathbf{p}) \right] \cdot \int^{\Omega_{acc}} \frac{d^2\mathbf{q}}{(4\pi)^2} \hat{\mathcal{F}}(\mathbf{q}) \frac{1}{2}(1 + \sigma\mathbf{P})\hat{\rho}(\mathbf{q})\hat{\mathcal{F}}^\dagger(\mathbf{q}) = \hat{\sigma}^E(\leq \theta_{acc}) \cdot \int d^2\mathbf{p} I_0(\mathbf{p})
 \end{aligned}$$

- ★ The mismatch of potentially recoverable losses and scattering within the beam

$$\Delta\hat{\sigma} = \frac{1}{4}(\hat{\sigma}_{el}(< \theta_{acc})(1 + \sigma\mathbf{P}) + (1 + \sigma\mathbf{P})\hat{\sigma}_{el}(< \theta_{acc})) - \hat{\sigma}^E(\leq \theta_{acc})$$

★ X-section of **scattering within the beam** (precession averaged)

$$\begin{aligned}\hat{\sigma}^E(\leq \theta_{\text{acc}}) &= \underbrace{\sigma_0^{\text{el}}(\leq \theta_{\text{acc}}) + \sigma_1^{\text{el}}(\leq \theta_{\text{acc}})}_{\text{Lost \& found particles}} (\mathbf{P} \cdot \mathbf{Q}) \\ &+ \underbrace{\boldsymbol{\sigma} \cdot (\sigma_0^E(\leq \theta_{\text{acc}}) \mathbf{P} + \sigma_1^E(\leq \theta_{\text{acc}}) \mathbf{Q})}_{\text{Lost \& found spin}}\end{aligned}$$

★ The **mismatch X-section** operator

$$\begin{aligned}\Delta \hat{\sigma} &= \underbrace{\sigma_0^{\text{el}}(< \theta_{\text{acc}}) + \sigma_1^{\text{el}}(< \theta_{\text{acc}})}_{\text{Potentially recoverable particle loss}} \mathbf{P} \mathbf{Q}_e \\ &+ \underbrace{\boldsymbol{\sigma} (\sigma_0^{\text{el}}(< \theta_{\text{acc}}) \mathbf{P} + \sigma_1^{\text{el}}(< \theta_{\text{acc}}) \mathbf{Q}_e)}_{\text{Potentially recoverable spin loss}} \\ &- \underbrace{\sigma_0^{\text{el}}(\leq \theta_{\text{acc}}) + \sigma_1^{\text{el}}(\leq \theta_{\text{acc}})}_{\text{Lost \& found particles}} (\mathbf{P} \cdot \mathbf{Q}) \\ &- \underbrace{\boldsymbol{\sigma} \cdot (\sigma_0^E(\leq \theta_{\text{acc}}) \mathbf{P} + \sigma_1^E(\leq \theta_{\text{acc}}) \mathbf{Q})}_{\text{Lost \& found spin}} \\ &= \boldsymbol{\sigma} (2\Delta\sigma_0 \mathbf{P} + \Delta\sigma_1 \mathbf{Q})\end{aligned}$$

★ Lost & found corrected coupled evolution equations

$$\frac{d}{dz} \begin{pmatrix} I_0 \\ \mathbf{s} \end{pmatrix} = -n \begin{pmatrix} \sigma_0(> \theta_{\text{acc}}) & \mathbf{Q} \sigma_1(> \theta_{\text{acc}}) \\ \mathbf{Q} (\sigma_1(> \theta_{\text{acc}}) + \Delta\sigma_1) & \sigma_0(> \theta_{\text{acc}}) + 2\Delta\sigma_0 \end{pmatrix} \cdot \begin{pmatrix} I_0 \\ \mathbf{s} \end{pmatrix},$$

★ No corrections to the equation for the particle number.

★  $\Delta\sigma_{0,1}$ : a mismatch between the spin of the beam taken away by the scattered particle and the lost & found spin put back by after the particle scatters within the beam. In terms of standard observables (Bystricky et al.):

$$\sigma_1^{el}(> \theta_{acc}) = \frac{1}{2} \int_{\theta_{acc}} d\Omega (d\sigma/d\Omega) (A_{00nn} + A_{00ss})$$

$$\begin{aligned} \Delta\sigma_0 &= \frac{1}{2} [\sigma_0^{el}(\leq \theta_{acc}) - \sigma_0^E(\leq \theta_{acc})] \\ &= \frac{1}{2} \int_{\theta_{min}}^{\theta_{acc}} d\Omega \frac{d\sigma}{d\Omega} \left(1 - \frac{1}{2} D_{n0n0} - \frac{1}{2} D_{s'0s0} \cos(\theta_{lab})\right) \end{aligned}$$

$$\begin{aligned} \Delta\sigma_1 &= \sigma_1^{el}(\leq \theta_{acc}) - \sigma_1^E(\leq \theta_{acc}) \\ &= \frac{1}{2} \int_{\theta_{min}}^{\theta_{acc}} d\Omega \frac{d\sigma}{d\Omega} (A_{00nn} + A_{00ss} - K_{n00n} - K_{s'00s} \cos(\theta_{lab})) \end{aligned}$$

★ The SAID menagerie:

$$A_{00nn} = A_{yy}, A_{00ss} = A_{xx}, K_{n00n} = D_t, D_{s'0s0} = R, D_{n0n0} = D, K_{s'00s} = -R'_t.$$

★ Milstein & Strakhovenko relate  $\Delta\sigma_{0,1}$  to spin-flip scattering.



## Polarization Buildup with Scattering within the Beam

- ★ Coupled evolution equations after into-the-beam scattering

$$\frac{d}{dz} \begin{pmatrix} I_0 \\ s \end{pmatrix} = -n \begin{pmatrix} \sigma_0(> \theta_{\text{acc}}) & Q\sigma_1(> \theta_{\text{acc}}) \\ Q(\sigma_1(> \theta_{\text{acc}}) + \Delta\sigma_1) & \sigma_0(> \theta_{\text{acc}}) + 2\Delta\sigma_0 \end{pmatrix} \cdot \begin{pmatrix} I_0 \\ s \end{pmatrix},$$

- ★ Solutions

$$\propto \exp(-\lambda_{1,2} N z)$$

with eigenvalues

$$\begin{aligned} \lambda_{1,2} &= \sigma_0 + \Delta\sigma_0 \pm \sigma_3 \\ \sigma_3 &= Q \sqrt{\sigma_1(\sigma_1 + \Delta\sigma_1) + \Delta\sigma_0^2}, \end{aligned}$$

- ★ The polarization buildup (also Milstein&Strakhovenko)

$$P(z) = -\frac{(\sigma_1 + \Delta\sigma_1) \tanh(\sigma_3 N z)}{\sigma_3 + \Delta\sigma_0 \tanh(\sigma_3 N z)}$$

- ★ The effective small-time polarization cross section

$$\sigma_P \approx -Q(\sigma_1 + \Delta\sigma_1)$$

## Pauli principle and Spin Deep under the Coulomb peak

- ★ "Normal" elastic scattering into  $\theta \leq \theta_{acc} = 4.4 \cdot 10^{-3}$  is entirely negligible.
- ★ "Abnormal"  $\theta_{acc} \ll \theta_{Coulomb}$  - scattering within the beam is deep under the Coulomb peak.
- ★ Entirely inaccessible in scattering experiments, important for storage rings. Need extrapolations of hadronic amplitudes.
- ★ Pauli principle  $\implies$  double-spin dependence from exchange interaction

$$\begin{aligned} \hat{\mathcal{F}}_{Coulomb} &= \frac{1}{2}\mathcal{F}(\theta) + \frac{1}{4}(1 + \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2)\mathcal{F}(\pi - \theta) \\ &= \underbrace{\mathcal{F}_0(\theta)}_{\text{Coulomb singularity } 1/\theta^2} + \underbrace{\mathcal{F}_1(\theta)}_{\text{Constant}} \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \end{aligned}$$

- ★ Exchange interaction stronger than Breit interaction of magnetic moments of protons
- ★ Add to  $\mathcal{F}_1(\theta)$  similar (and typically larger) two-spin nuclear interaction amplitudes.
- ★  $1/\theta^2$  enhancement makes interference  $\propto \mathcal{F}_0(\theta)\mathcal{F}_1(\theta)$  substantial.
- ★ Upon azimuthal integrations spin-flips don't interfere with the dominant  $\mathcal{F}_0(\theta)$

## Understanding the FILTEX result according to Meyer-Horowitz:

★ The FILTEX polarization rate as published in 1993:  $\sigma_P = 63 \pm 3(stat.)$  mb, a fantastic  $20\sigma$  measurement!

★ Better understanding of target density & polarization (F.Rathmann, PhD):  
 $\sigma_P = 72.5 \pm 5.8(stat. + sys.)$  (stat.)

★ The expectation from filtering by pure nuclear scattering:  $\sigma_{P,expected} = 122$  mb.

★ H.O. Meyer: correct  $\sigma_P$  for scattering within the beam. Strong effect of Coulomb-nuclear interference  $\propto \mathcal{F}_0(\theta)\mathcal{F}_1(\theta)$ . Enhanced by  $\log(\theta_{acc}^2/\theta_{min}^2) \approx 11$ . Meyer's reevaluation  $\sigma_1(> \theta_{acc}) = 83$  mb (SAID of 94) instead of 122 mb

★ Add scattering within the beam off polarized electrons:  $\delta\sigma_1^{ep} = -70$  mb

★ Add scattering within the beam off polarized protons:  $\delta\sigma_1^{ep} = +52$  mb

★ Net result:  $\sigma_P = 65$  mb. Good but accidental agreement with FILTEX!

★ What went wrong: : Double counting, Meyer should have started with loss from  $\theta > \theta_{min}$ , and then add scattering within the beam.

Still, Meyer asked right questions and was infinitesimally close to the correct answer!

## Understanding the FILTEX result: why negligible small $\Delta\sigma_{1,0}$

★ NNN-Pavlov: SAID-SP05 for **filtering by loss**:  $\sigma_1(> \theta_{acc}) = -85.6$  (only marginal changes from SAID to Nijmegen databases).

★ Spin deep under the Coulomb peak:

$$\hat{\mathcal{F}} = \underbrace{\mathcal{F}_0(\theta)}_{\text{Coulomb} \propto 1/\theta^2} + \underbrace{\mathcal{F}_1(\theta)}_{\text{Breit+Nuclear}} \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + (\text{other two} - \text{spin terms})$$

★ Treatment is identical to that of the Breit proton-electron interaction.

★ The dominant spin-dependence from the interference  $\propto \mathcal{F}_0(\theta)\mathcal{F}_1(\theta)$ .

★ Scattering within the beam cancels filtering by transmission losses:

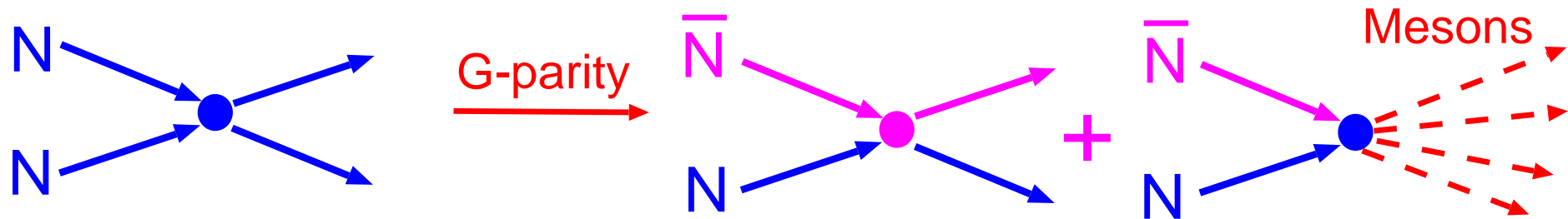
$$\hat{\sigma}_{tot} \equiv \hat{\sigma}_{abs}^p + \hat{\sigma}_{el}^p(> \theta_{min}) \implies \hat{\sigma}_{tot} - \hat{\sigma}_{el}^p(\theta_{min} \leq \theta \leq \theta_{acc}) = \hat{\sigma}_{abs}^p + \hat{\sigma}_{el}^p(> \theta_{acc}).$$

★ Nonrelativistic heavy particles love retaining their spin: very small mismatch  
X-section

$$\Delta\sigma_1 \approx -6 \cdot 10^{-3} \text{ mb}$$

★ Full agreement with Milstein & Strakhovenko result in terms of the spin-flip  
X-section.

Juelich models for antiproton-proton interaction (also Paris, Nijmegen...)

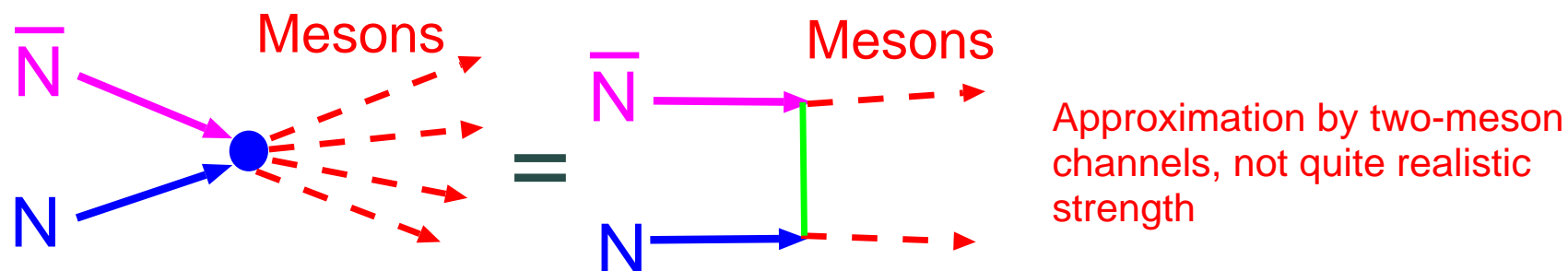


Bonn meson exchange: well defined G-parity is crucial

Annihilation needs extra modelling

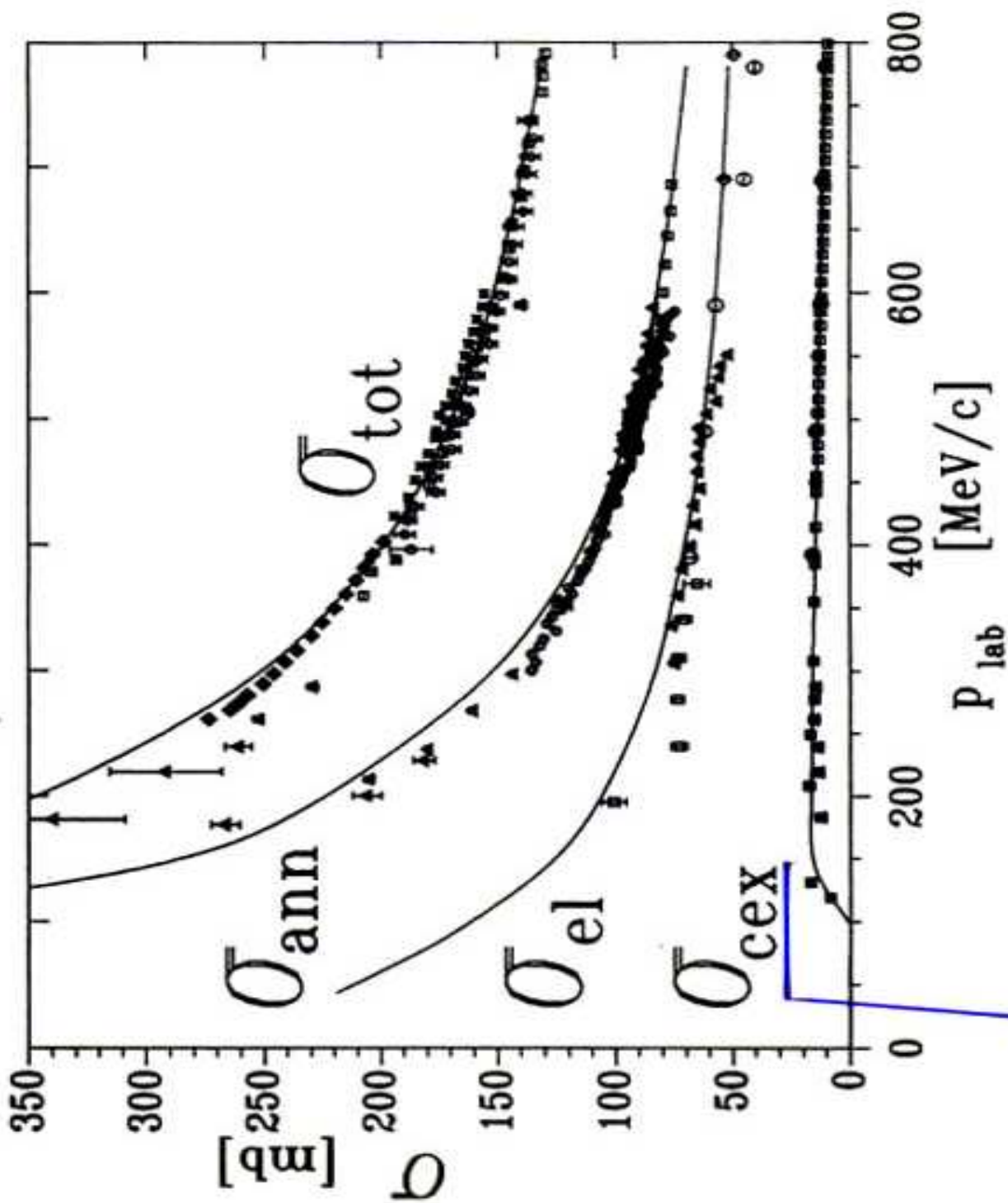
\* **Annihilation:** phenomenological optical potential (model A)

\* **Annihilation:** pure field-theoretic baryon exchange (model C)



\* **Annihilation:** hybrid model: baryon exchange for two-meson channels optical potential for the rest (model D)

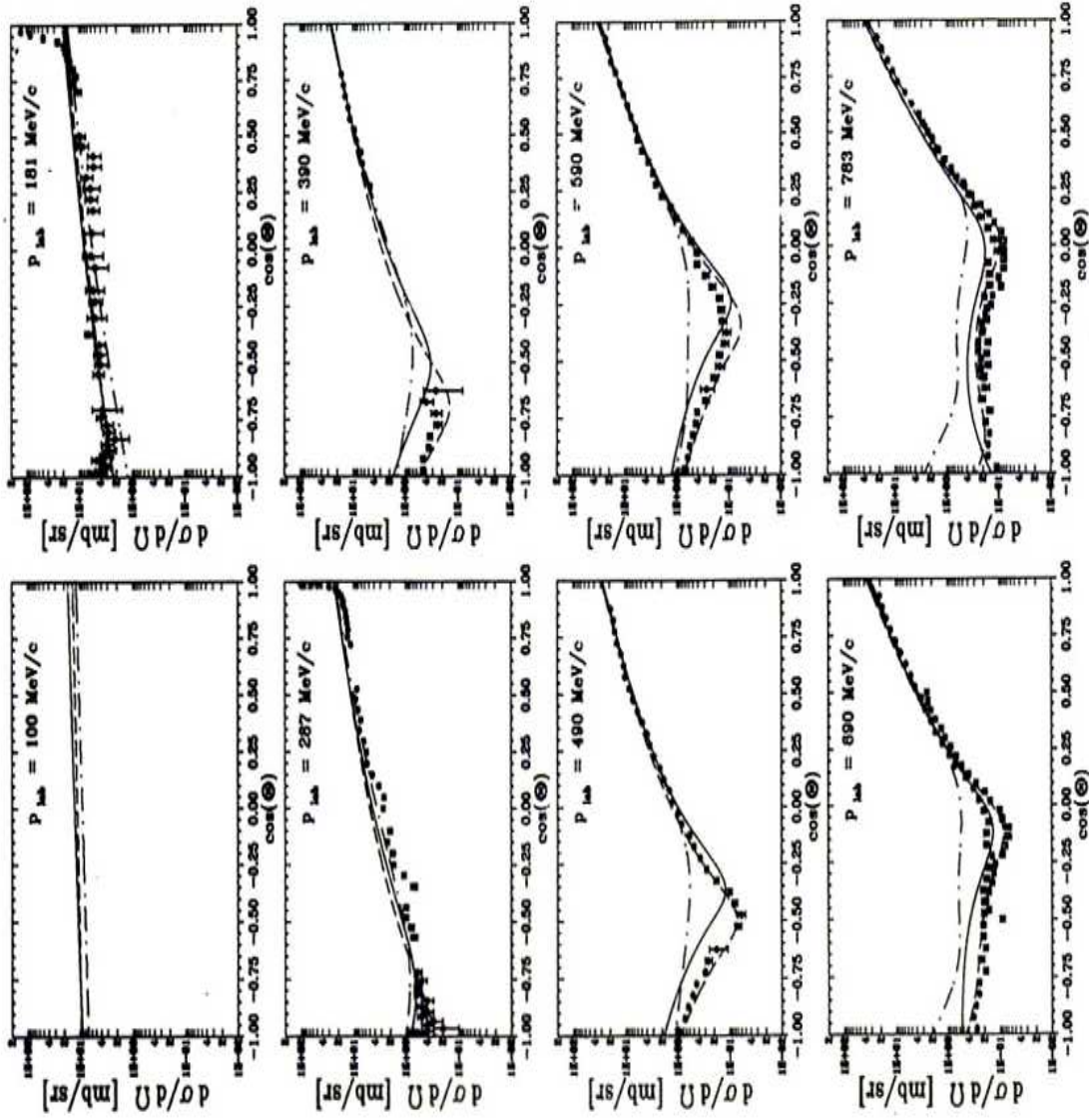
**Good degree of success** with total, elastic, annihilation X-sections, differential  $d\sigma(\text{elastic})$ , analyzing power (**model A does best job**)



$\bar{p}p \rightarrow \bar{n}n$

PP → PP

differential cross sections

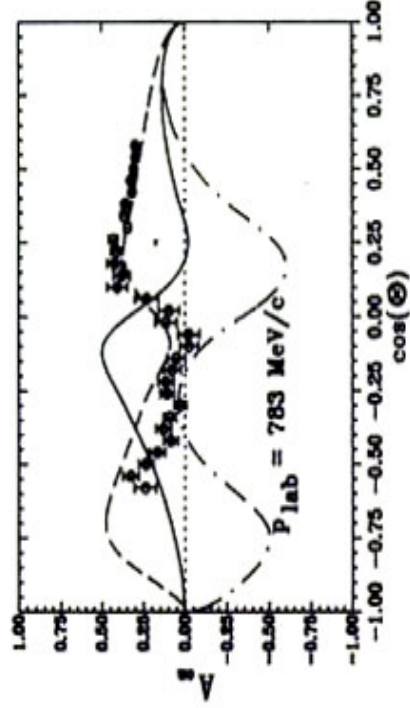
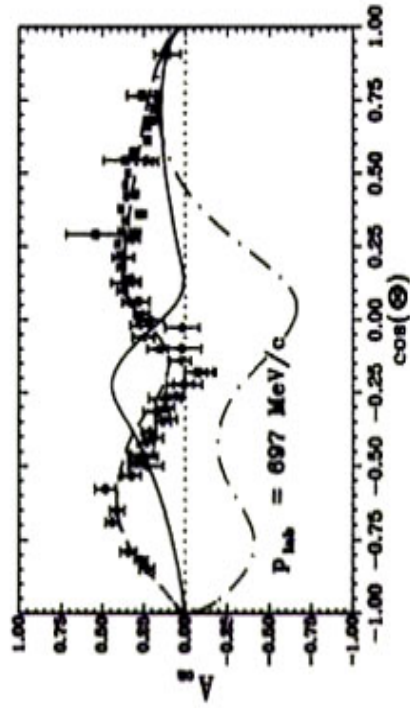
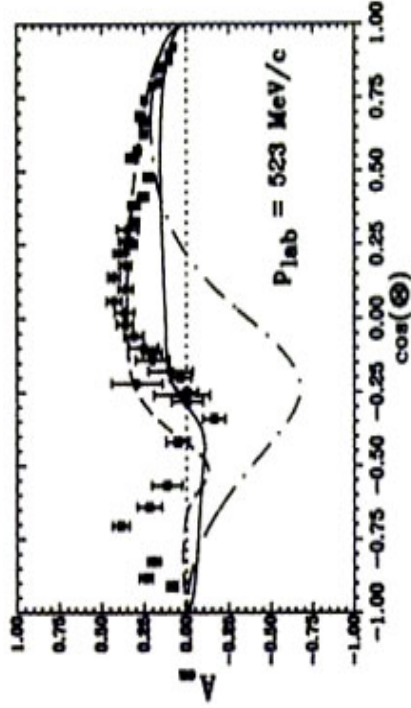
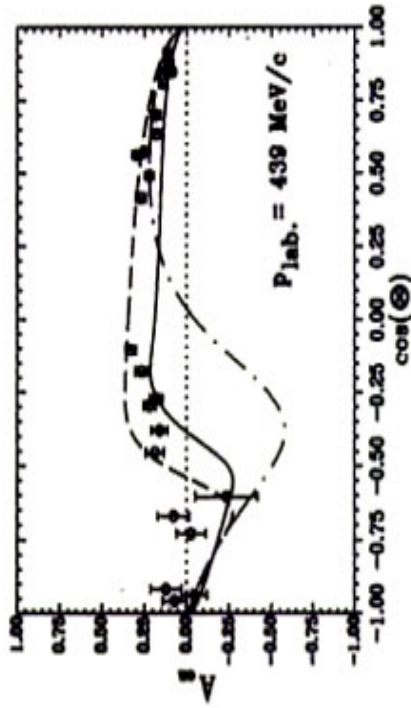


— model D (microscopic annihilation)

- - - model A (phenomenological annihilation)



analyzing powers



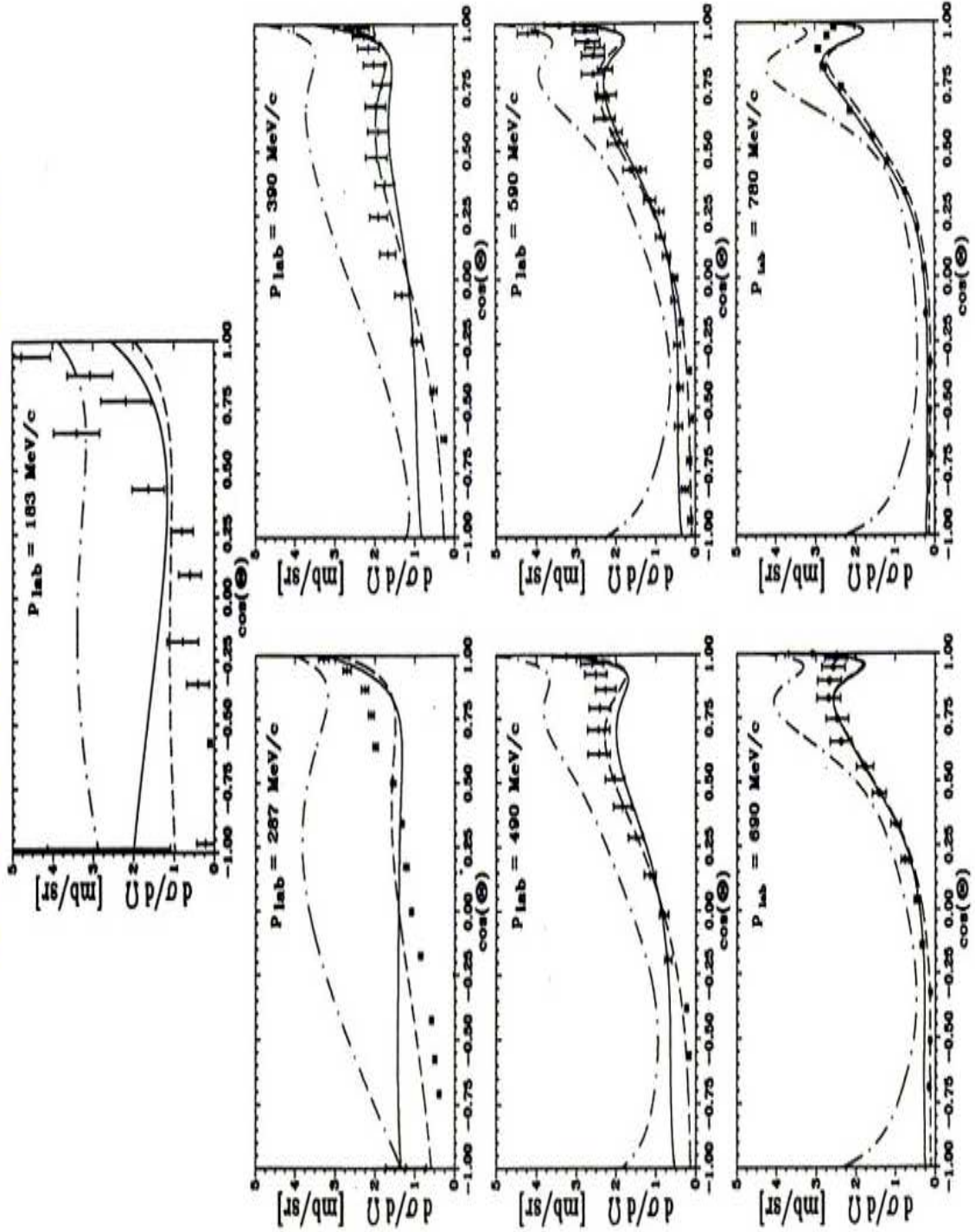
—  $D$  (microscopic annihilation)

- - -  $A$  (phenomenological annihilation)





Differential cross sections

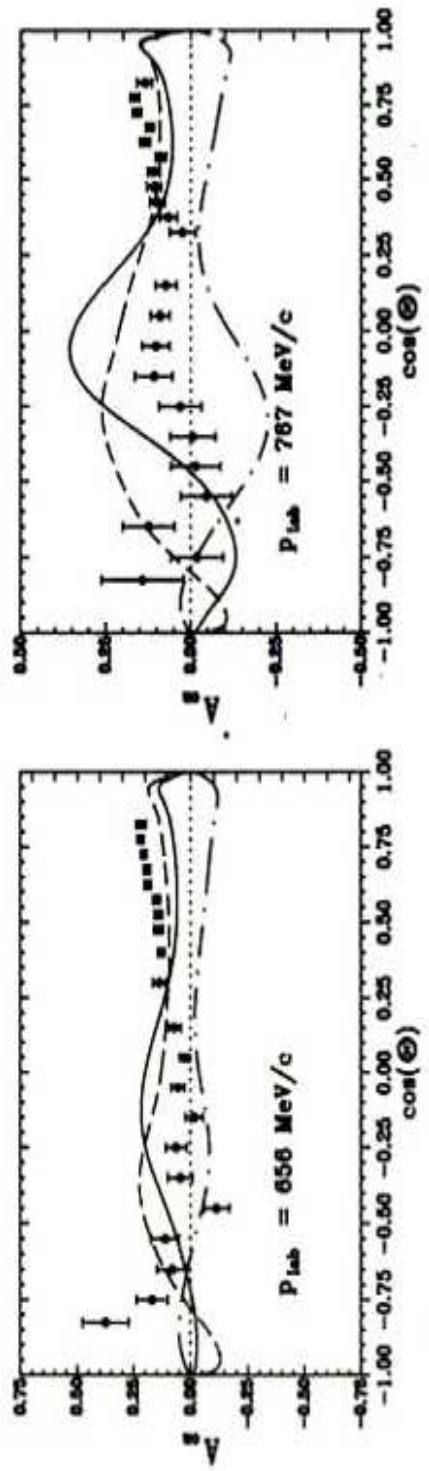
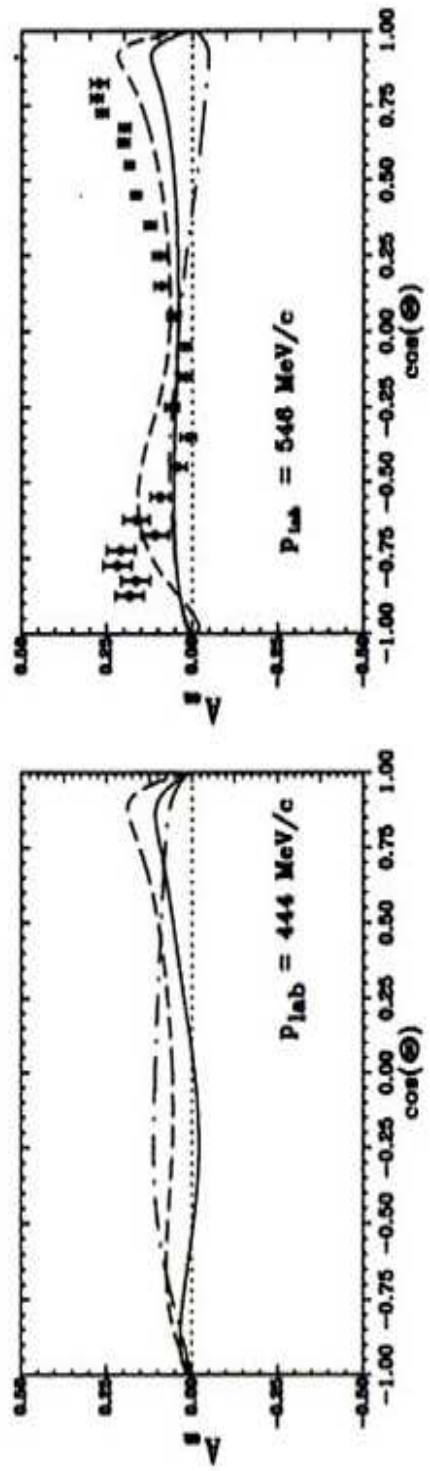


— D (microscopic annihilation)

- - - A (phenomenological annihilation)

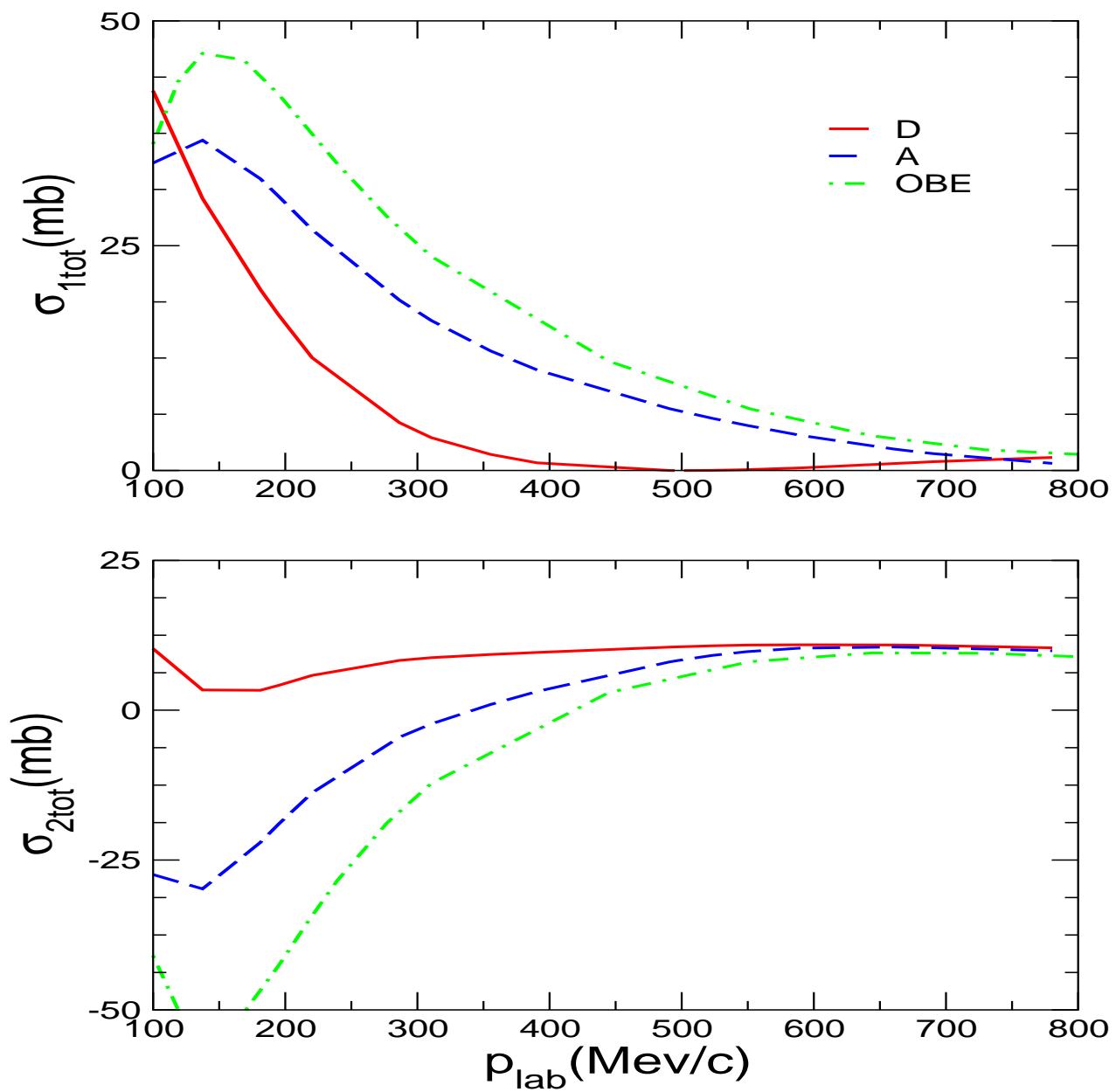


analyzing powers



— D (microscopic annihilation)

- - - A (phenomenological annihilation)



## Conclusions: what is the future for PAX?

- ★ FILTEX: an important proof of the principle of spin filtering.
- ★ A consensus between theorists (Budker Institute & IKP FZJ): Polarized electrons in polarized atoms wouldn't polarize antiprotons in storage rings.
- ★ H.O. Meyer: scattering within the beam + Coulomb-nuclear interference reduce the expected  $\sigma_P = 122 \text{ mb}$  down to  $\sigma_P = 85.6 \text{ mb}$  (SAID-SP05).
- ★ Still slight disagreement between experiment  $\sigma_P = 72.5 \pm 5.8(\text{stat.} + \text{sys.})$  (FILTEX) and theory,  $\sigma_P = 85.6 \text{ mb}$  (Meyer & Budker Institute & IKP FZJ).
- ★ Solution for PAX: spin filtering by nuclear antiproton-proton interaction .
- ★ Theoretical models are encouraging: substantial filtering of practical interest (Contalbrigo's talk)
- ★ Spin filtering of antiprotons must be optimized experimentally with antiprotons available elsewhere (AD ring at CERN?).